

Receipt  
PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor application of: Pelrine, et al.

Application No.: 09/620,025

Filed: July 20, 2000

Title: ELECTROACTIVE POLYMER  
TRANSDUCERS AND ACTUATORS

Attorney Docket No.: SRI1P020/US-  
4184-2

Examiner: Not Yet Assigned

Group: 2834

#7  
Cfr  
Chick  
9601

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as First Class Mail to: Assistant  
Commissioner for Patents, Washington, DC 20231 on March 21, 2001.

Signed:

*Deborah Neill*

Deborah Neill

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
**Office of Initial Patent Examination**  
**Customer Service Center**  
Washington, DC 20231

Sir:

Enclosed is a copy of the Filing Receipt for the above-identified patent application.  
Please reprint the Filing Receipt as follows and mail the corrected copy to the undersigned.

Change Applicant(s) "Ronald E. Pelrine, Boulder, CO" to – **Ronald E. Pelrine, Boulder,  
CO --.**

Since this is a Patent Office typographical error, no fee should be due. However, the  
Commissioner is authorized to charge any fees that may be due to Deposit Account 500388  
(SRI1P020).

Respectfully submitted,

BEYER WEAVER & THOMAS, LLP

*William J. Plut*

William J. Plut

Limited Registration under 37 C.F.R. §10.9(b)

P.O. Box 778  
Berkeley, CA 94704-0778

TC 20010321 10:01 AM



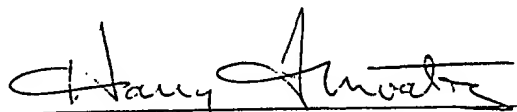
BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
UNITED STATES PATENT AND TRADEMARK OFFICE

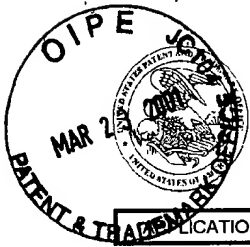
LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

William Plut is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of the Beyer, Weaver, and Thomas, LLP law firm to prepare and prosecute patent applications wherein the patent applicant is the client of the Beyer, Weaver, and Thomas, LLP law firm, and the attorney or agent of record in the applications is a registered practitioner who is a member of the Beyer, Weaver, and Thomas, LLP law firm. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) William Plut ceases to lawfully reside in the United States, (ii) William Plut's employment with the Beyer, Weaver, and Thomas, LLP law firm ceases or is terminated, or (iii) William Plut ceases to remain or reside in the United States on an TN visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the United States Patent and Trademark Office.

Expires: June 15, 2001

  
\_\_\_\_\_  
Harry I. Moatz, Director  
Office of Enrollment and Discipline



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/620,025	07/20/2000	2834	1816	SRI1P020/US-4184-2	14	52	8

CONFIRMATION NO. 3816

022434  
BEYER WEAVER & THOMAS LLP  
P.O. BOX 778  
BERKELEY, CA 94704-0778

## FILING RECEIPT



\*OC000000005794388\*

Date Mailed: 02/23/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

Ronald E. Pelrine, Boulder, CO;  
Roy D. Kornbluh, Palo Alto, CO;  
Qibing Pei, Fremont, CA;

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/144,556 07/20/1999  
AND CLAIMS BENEFIT OF 60/153,329 09/10/1999  
AND CLAIMS BENEFIT OF 60/161,325 10/25/1999  
AND CLAIMS BENEFIT OF 60/181,404 02/09/2000  
AND CLAIMS BENEFIT OF 60/187,809 03/08/2000  
AND CLAIMS BENEFIT OF 60/192,237 03/27/2000

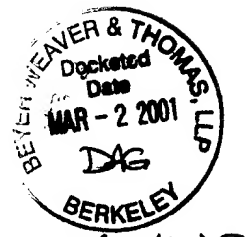
## Foreign Applications

If Required, Foreign Filing License Granted 09/12/2000

Projected Publication Date:

Non-Publication Request: No

Early Publication Request: No



**Title**

Electroactive polymer transducers and actuators

**Preliminary Class**

310

---

**Data entry by :** STUART, VINCENT

**Team :** OIPE

**Date:** 02/23/2001



**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231